

# Some new characterizations of some old Maltsev conditions

## OAL2.0

Matt Valeriote

(joint work with R. Freese, M. Kozik, A. Krokhin, B. Larose, R. Willard)

McMaster University

9 June 2011

# Outline of Talk

- Brief discussion of the Lattice of Interpretability and Maltsev conditions,
- Presentation of six special Maltsev conditions,
- Detailed discussion of these conditions, including a uniform way to present them via matrix term-conditions,
- A discussion of the locally finite case,
- Results on algorithmic questions related to testing for the Maltsev conditions.

**NOTE:** To go deeper into the topic of this talk, please consult the books by Hobby & McKenzie and by Kearnes & Kiss.

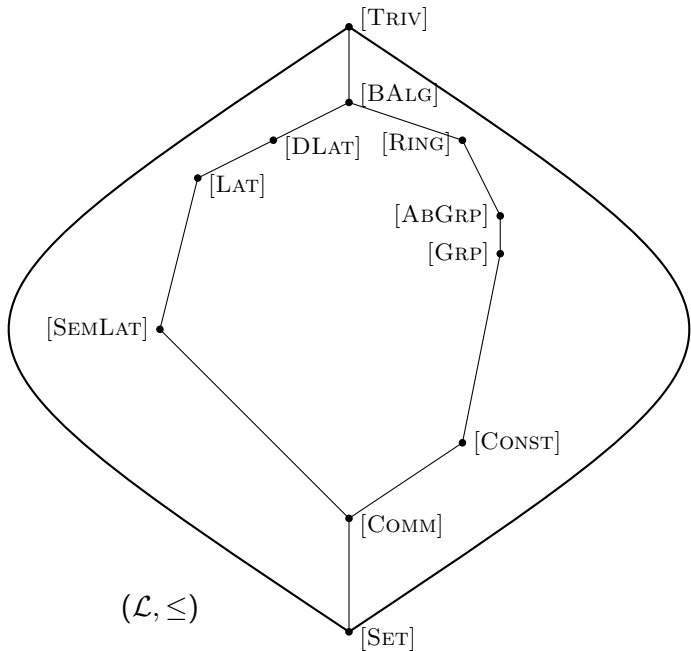
# The Lattice of Interpretability

## Quote from Garcia & Taylor

“In 1974, W.D. Neumann introduced an interesting lattice for comparing the relative strengths of varieties. He defined a variety  $\mathcal{V}$  to be  $\leq$  another variety  $\mathcal{W}$  iff  $\mathcal{V}$  is interpretable in  $\mathcal{W}$ .”

## Definition

- If  $\mathbf{A} = \langle A, \mathcal{F} \rangle$  is an algebra and  $\mathcal{S} \subseteq \text{Clo}(\mathbf{A})$ , then the algebra  $\langle A, \mathcal{S} \rangle$  is called a **term reduct** of  $\mathbf{A}$ .
- The variety  $\mathcal{V}$  is **interpretable** in  $\mathcal{W}$  ( $\mathcal{V} \leq \mathcal{W}$ ) if every algebra in  $\mathcal{W}$  has a term reduct that is in  $\mathcal{V}$ .
- The order  $\leq$  is a quasi-order on the class of varieties, i.e., it is reflexive and transitive.
- Modulo the equivalence relation of bi-interpretability,  $\leq$  provides a complete lattice ordering on the class of varieties. This lattice is called the **lattice of interpretability types of varieties**.



## Remark

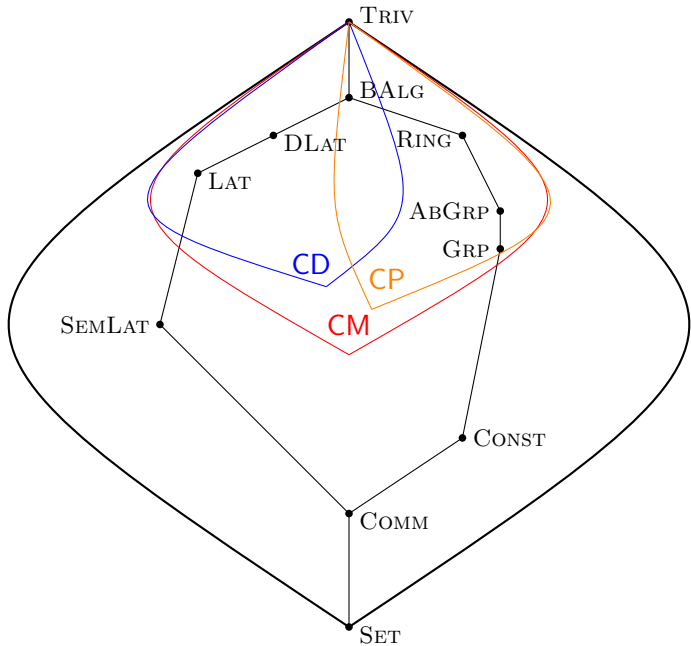
*Many properties of varieties are monotone with respect to  $\leq$  in the sense that if  $\mathcal{V} \leq \mathcal{W}$  and  $\mathcal{V}$  satisfies the property then so does  $\mathcal{W}$ . As such, **filters** in  $\mathcal{L}$  are of particular importance in the study of varieties.*

## Definition

A **Maltsev Class** (or Condition) is the collection of varieties from some filter in  $\mathcal{L}$  determined by a set of finitely presented varieties.

## Examples

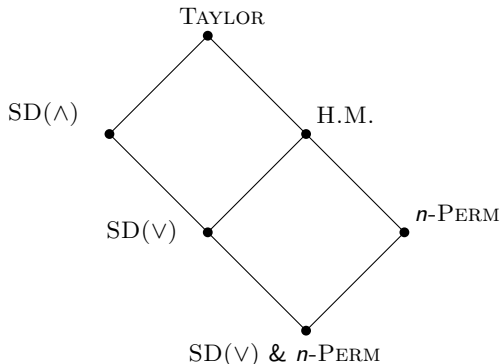
The conditions of Congruence Modularity (CM), Congruence Permutability (CP) and Congruence Distributivity (CD) are familiar examples of Maltsev Conditions.

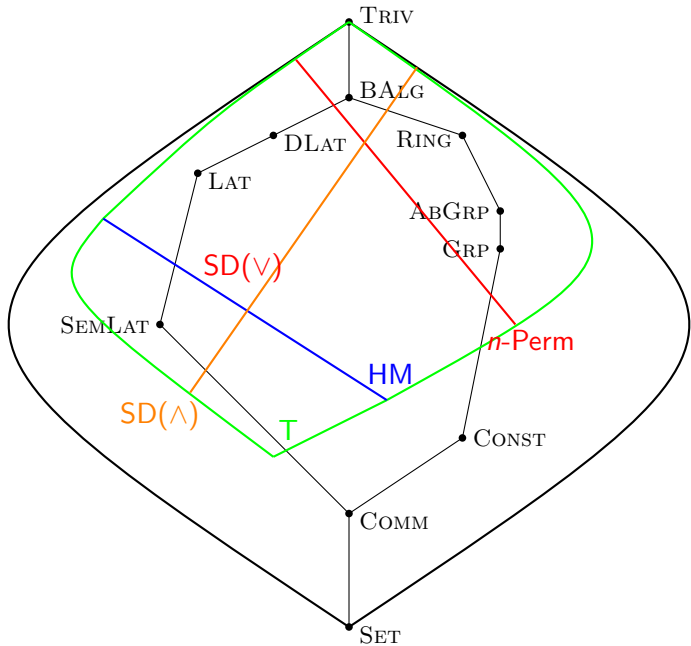


# More General Maltsev Conditions

Quote from “The Structure of Finite Algebras”, by Hobby & McKenzie:

“Our theory reveals a sharp division of locally finite varieties of algebras into six interesting new families, each of which is characterized by the behaviour of congruences in the algebras.”







## Definition

- A term  $t(x_1, \dots, x_n)$  of a variety  $\mathcal{V}$  is **idempotent** if  $t(x, x, \dots, x) \approx x$  holds in  $\mathcal{V}$ .  $\mathcal{V}$  is idempotent if all of its term operations are.
- A Maltsev condition is **idempotent** if it is defined by a set of idempotent varieties.

## Theorem (Taylor)

*The following are equivalent for a variety  $\mathcal{V}$ :*

- $\mathcal{V}$  satisfies a non-trivial idempotent Maltsev condition,
- $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in Sets,
- $\mathcal{V}$  has a **Taylor Term**.

## Definition

A term  $t(x_1, \dots, x_n)$  is a **Taylor term** for a variety  $\mathcal{V}$  if it is idempotent and satisfies a system of  $n$  equations in the variables  $\{\mathbf{x}, \mathbf{y}\}$  of the form:

$$t \begin{bmatrix} \mathbf{x} & & & \\ & \mathbf{x} & & \\ & & \ddots & \\ & & & \mathbf{x} \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} & & & \\ & \mathbf{y} & & \\ & & \ddots & \\ & & & \mathbf{y} \end{bmatrix}$$

# Hobby-McKenzie Terms

## Theorem

The following are equivalent for a variety  $\mathcal{V}$ :

- $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in Semilattices,
- $\mathcal{V}$  satisfies a non-trivial congruence identity,
- $\mathcal{V}$  has a **Hobby-McKenzie Term**.

## Definition

A term  $t(x_1, \dots, x_n)$  is a **Hobby-McKenzie term** for a variety  $\mathcal{V}$  if it is idempotent and satisfies a system of  $n$  equations in the variables  $\{\mathbf{x}, \mathbf{y}\}$  of the form:

$$t \begin{bmatrix} \mathbf{x} \\ \mathbf{x} & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & \dots \\ \mathbf{x} & \mathbf{x} & \dots & \mathbf{x} \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} \\ & \mathbf{y} & & \\ & & \dots & \\ & & & \mathbf{y} \end{bmatrix}$$

# Congruence Meet Semi-Distributivity

## Definition

An algebra is **congruence meet semi-distributive** ( $SD(\wedge)$ ) if its congruence lattice satisfies the implication:

$$\alpha \wedge \beta = \alpha \wedge \gamma \Rightarrow \alpha \wedge \beta = \alpha \wedge (\beta \vee \gamma).$$

It is **congruence join semi-distributive** ( $SD(\vee)$ ) if its congruence lattice satisfies the dual implication.

## Theorem

*The following are equivalent for a variety  $\mathcal{V}$ :*

- $\mathcal{V}$  is congruence meet semi-distributive,
- $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in every non-trivial variety of modules,
- $\mathcal{V}$  satisfies a particular (and messy) idempotent Maltsev condition.

# Congruence Meet Semi-Distributivity

## Remark

*In the locally finite case, a Maltsev condition for  $SD(\wedge)$  varieties exists that can be expressed via a matrix term-condition.*

## Theorem (Barto, Kozik)

*A **locally finite** variety  $\mathcal{V}$  is  $SD(\wedge)$  if and only if it has an idempotent term  $t(x_1, \dots, x_n)$  that satisfies a system of  $n$  equations of the form:*

$$t \begin{bmatrix} \mathbf{x} & & & & \\ * & \mathbf{x} & & & \\ * & * & \ddots & & \\ * & * & \cdots & & \mathbf{x} \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} & & & & \\ * & \mathbf{y} & & & \\ * & * & \ddots & & \\ * & * & \cdots & & \mathbf{y} \end{bmatrix}$$

## Theorem

The following are equivalent for a variety  $\mathcal{V}$ :

- $\mathcal{V}$  is *congruence join semi-distributive*,
- $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in the variety of Semilattices and in every non-trivial variety of modules.
- For some  $k$ ,  $\mathcal{V}$  has terms  $p_i(x, y, z)$ , for  $0 \leq i \leq k$ , which satisfy the identities:

$$p_0(x, y, z) = x \text{ and } p_k(x, y, z) = z$$

$$p_i(x, y, y) = p_{i+1}(x, y, y), \quad p_i(x, y, x) = p_{i+1}(x, y, x) \text{ for } i \text{ even}$$

$$p_i(x, x, y) = p_{i+1}(x, x, y) \text{ for } i \text{ odd}$$

# Congruence Join Semi-Distributivity

## Theorem (Freese et al)

A variety  $\mathcal{V}$  is *congruence join semi-distributive* if and only if it has an idempotent term  $t(x_1, \dots, x_n)$  that satisfies a system of  $n$  equations of the form:

$$t \begin{bmatrix} \mathbf{x} & & & & \\ \mathbf{x} & \mathbf{x} & & & \\ \mathbf{x} & \mathbf{x} & \ddots & & \\ \mathbf{x} & \mathbf{x} & \cdots & \mathbf{x} & \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} & & & & \\ \mathbf{x} & \mathbf{y} & & & \\ \mathbf{x} & \mathbf{x} & \ddots & & \\ \mathbf{x} & \mathbf{x} & \cdots & \mathbf{y} & \end{bmatrix}$$

## Remarks

- It is not hard to show that this term condition cannot be satisfied by any module term or any semilattice term and so it implies  $SD(\vee)$ .
- For the converse, using terms similar to those on the previous page, one can compose them to build a term that satisfies the above matrix condition.

## Remarks

- *Hagemann and Mitschke have provided a nice Maltsev condition for the class of  $n$ -permutable varieties.*
- *For locally finite varieties, Hobby and McKenzie provide alternate characterizations.*

## Theorem

*The following are equivalent for a locally finite variety  $\mathcal{V}$ :*

- $\mathcal{V}$  is **congruence  $n$ -permutable** for some  $n$ ,
- $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in the variety of Distributive Lattices,
- $\mathcal{V}$  has terms  $p_i(x, y, z)$ , for  $0 \leq i \leq n$ , which satisfy the identities:

$$p_0(x, y, z) = x \text{ and } p_n(x, y, z) = z$$

$$p_i(x, x, y) = p_{i+1}(x, y, y) \text{ for each } i$$



## Remark

By now, it should come as no surprise (assuming that you've been paying attention), that one can characterize  $n$ -permutability via a matrix term condition.

## Theorem (Larose et al)

A locally finite variety  $\mathcal{V}$  is **congruence  $k$ -permutable** for some  $k$  if and only if it has an idempotent term  $t(x_1, \dots, x_n)$ , for some  $n$ , that satisfies a system of  $n$  equations of the form:

$$t \begin{bmatrix} \mathbf{x} & & & & \\ \mathbf{x} & \mathbf{x} & & & \\ \mathbf{x} & \mathbf{x} & \ddots & & \\ \mathbf{x} & \mathbf{x} & \cdots & \mathbf{x} & \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} & \mathbf{y} & \cdots & \mathbf{y} & \\ & \mathbf{y} & \cdots & \mathbf{y} & \\ & & \ddots & \mathbf{y} & \\ & & & \mathbf{y} & \\ & & & & \mathbf{y} \end{bmatrix}$$

# The sixth condition

## Remarks

- *The strongest of the six Maltsev conditions is the conjunction of  $SD(\mathcal{V})$  and  $n$ -permutability.*
- *So, the following matrix term condition implies this Maltsev condition, but it is not (yet) known to be equivalent to it.*

## Observation

A variety  $\mathcal{V}$  is  $SD(\mathcal{V})$  and  $k$ -permutable for some  $k$  if it has an idempotent term  $t(x_1, \dots, x_n)$ , for some  $n$ , that satisfies a system of  $n$  equations of the form:

$$t \begin{bmatrix} \mathbf{x} & & & & \\ \mathbf{x} & \mathbf{x} & & & \\ \mathbf{x} & \mathbf{x} & \ddots & & \\ \mathbf{x} & \mathbf{x} & \cdots & & \mathbf{x} \end{bmatrix} \approx t \begin{bmatrix} \mathbf{y} & \mathbf{y} & \cdots & \mathbf{y} \\ \mathbf{x} & \mathbf{y} & \cdots & \mathbf{y} \\ \mathbf{x} & \mathbf{x} & \ddots & \mathbf{y} \\ \mathbf{x} & \mathbf{x} & \cdots & \mathbf{y} \end{bmatrix}$$

# Can we do better?

## Remarks

- *In the locally finite case, we might expect that better results can be obtained.*
- *Surprising results have been established for two of the Maltsev classes.*

## Theorem (Siggers!!)

*A locally finite variety  $\mathcal{V}$  has a Taylor term if and only if it has a 4-ary term that satisfies:*

$$t \begin{bmatrix} x & y & y & y \\ x & x & x & y \\ x & x & x & y \\ y & y & x & x \end{bmatrix} \approx t \begin{bmatrix} y & y & x & x \\ x & y & y & y \\ x & y & y & y \\ x & y & y & y \end{bmatrix}$$



# Algorithmic questions

## General Question:

Given a finite algebra, how difficult is it to determine if the variety that it generates satisfies one of these Maltsev conditions?

## Theorem (Freese, Valeriote)

*For each of the six Maltsev conditions, the problem of determining if a given finite algebra generates a variety that satisfies it is an **EXPTIME-complete** problem.*

## Remark

*It is not difficult to show that these problems are in EXPTIME, since one can check them by constructing the 3-generated free algebra in the variety and then looking for terms that witness the given Maltsev condition.*

# The Idempotent Case

## Theorem (Freese, Valeriote)

*For each of the six Maltsev conditions, the problem of determining if a given finite **idempotent** algebra generates a variety that satisfies it is in P.*

## Remarks

- *For the Taylor-term class, this was established by Bulatov.*
- *Using results from Hobby-McKenzie, we generalize Bulatov's approach to construct poly-time algorithms to solve the other 5 cases.*
- *We also construct poly-time algorithms to test for Congruence Permutability, Distributivity, and Modularity in the idempotent case.*

# The Relational Case

## Remarks

- *Of particular interest are the related questions for relational structures, namely,*
- *Given a relational structure  $\mathbf{B}$ , how difficult is it to determine if the algebra of polymorphisms of  $\mathbf{B}$  generates a variety that satisfies one of the six Maltsev conditions?*
- *These problems are known to be decidable, and in some cases, there are better results.*

## Results:

- Testing for a Taylor term is in NP. (This follows from Siggers's result.)
- [Bulatov] Testing for  $SD(\wedge)$  is in P.
- More generally, Maroti has observed that any special Maltsev condition that implies  $SD(\wedge)$  can be settled in polynomially time.

# Open Problems

- Verify that the matrix condition for the sixth Maltsev class in our list characterizes the (locally finite) varieties in this class.
- Determine if all of the given matrix term conditions work in the non-locally finite case. [NOTE: Since this talk was given, it has been shown that the matrix term-condition given for  $n$ -permutability works in the non-locally finite case as well.]
- Show that if a variety  $\mathcal{V}$  satisfies an idempotent Maltsev condition that fails in Distributive Lattices, then  $\mathcal{V}$  is congruence  $n$ -permutable for some  $n$ .
- Resolve the open computational complexity questions for relational structures.
- Find the complexity of determining if a given finite algebra has a Maltsev term or a majority term.